

Realistic Uncertainties for Fundamental Properties of Asteroseismic Red Giants and the Interplay Between Mixing Length, Metallicity and ν_{\max}

YAGUANG LI (李亚光)^{1,2}, TIMOTHY R. BEDDING,² DANIEL HUBER,^{1,2} DENNIS STELLO,^{3,2} JENNIFER VAN SADERS,¹
COURTNEY L. CRAWFORD,² MERIDITH JOYCE,^{4,5} TANDA LI (李坦达)⁶, SIMON J. MURPHY,⁷ K. R. SREENIVAS,² AND
YIXIAO ZHOU (周一啸)⁸

¹*Institute for Astronomy, University of Hawai'i, 2680 Woodlawn Drive, Honolulu, HI 96822, USA*

²*Sydney Institute for Astronomy (SIfA), School of Physics, University of Sydney, NSW 2006, Australia*

³*School of Physics, University of New South Wales, 2052, Australia*

⁴*Konkoly Observatory, HUN-REN Research Centre for Astronomy and Earth Sciences, Konkoly-Thege Miklós út 15-17, H-1121, Budapest, Hungary*

⁵*CSFK, MTA Centre of Excellence, Budapest, Konkoly-Thege Miklós út 15-17, H-1121, Budapest, Hungary*

⁶*Department of Astronomy, Beijing Normal University, Haidian District, Beijing 100875, China*

⁷*Centre for Astrophysics, University of Southern Queensland, Toowoomba, QLD 4350, Australia*

⁸*Stellar Astrophysics Centre, Department of Physics and Astronomy, Aarhus University, Ny Munkegade 120, DK-8000 Aarhus C, Denmark*

ABSTRACT

Asteroseismic modelling is a powerful way to derive stellar properties. However, the derived quantities are limited by built-in assumptions used in stellar models. This work presents a detailed characterisation of stellar model uncertainties in asteroseismic red giants, focusing on the mixing-length parameter α_{MLT} , the initial helium fraction Y_{init} , the solar abundance scale, and the overshoot parameters. First, we estimate error floors due to model uncertainties to be $\approx 0.4\%$ in mass, $\approx 0.2\%$ in radius, and $\approx 17\%$ in age, primarily due to the uncertain state of α_{MLT} and Y_{init} . The systematic uncertainties in age exceed typical statistical uncertainties, suggesting the importance of their evaluation in asteroseismic applications. Second, we demonstrate that the uncertainties from α_{MLT} can be entirely mitigated by direct radius measurements or partially through ν_{\max} . Utilizing radii from *Kepler* eclipsing binaries, we determined the α_{MLT} values and calibrated the $\alpha_{\text{MLT}}\text{--}[\text{M}/\text{H}]$ relation. The correlation observed between the two variables is positive, consistent with previous studies using 1-D stellar models, but in contrast with outcomes from 3D simulations. Third, we explore the implications of using asteroseismic modelling to test the ν_{\max} scaling relation. We found that a perceived dependency of ν_{\max} on $[\text{M}/\text{H}]$ from individual frequency modelling can be largely removed by incorporating the calibrated $\alpha_{\text{MLT}}\text{--}[\text{M}/\text{H}]$ relation. Variations in Y_{init} can also affect ν_{\max} predictions. These findings suggest that ν_{\max} conveys information not fully captured by individual frequencies, and that it should be carefully considered as an important observable for asteroseismic modelling.

Keywords: stars: solar-type – stars: oscillations (including pulsations) – stars: low-mass

1. INTRODUCTION

Asteroseismology has rapidly developed as a highly effective tool for determining the properties of field stars. Typical uncertainties of $\approx 4\%$ in mass, $\approx 2\%$ in radius, and $\approx 10\%$ in age, are consistently reported in various studies for solar-type main-sequence stars and subgiants (e.g. Silva Aguirre et al. 2015, 2017; Li, T. et al. 2020). Similar levels of uncertainty, particularly precision, have also been indicated for red giants (Li, T. et al. 2022; Montalbán et al. 2021). This marks a significant advancement over traditional isochrone fitting methods,

which typically yield uncertainties of $\approx 10\%$ in mass and $\approx 50\%$ in age (Tayar et al. 2022).

Given the promising results of asteroseismology, the inherent systematic uncertainties in the underlying stellar models warrant careful examination. For example, a common practice in these models involves treating the mixing-length parameter α_{MLT} and initial helium abundance Y_{init} as free variables, since neither of them can be precisely determined observationally for field stars (e.g. Lebreton & Goupil 2014; Appourchaux et al. 2015; Silva Aguirre et al. 2015). However, without proper

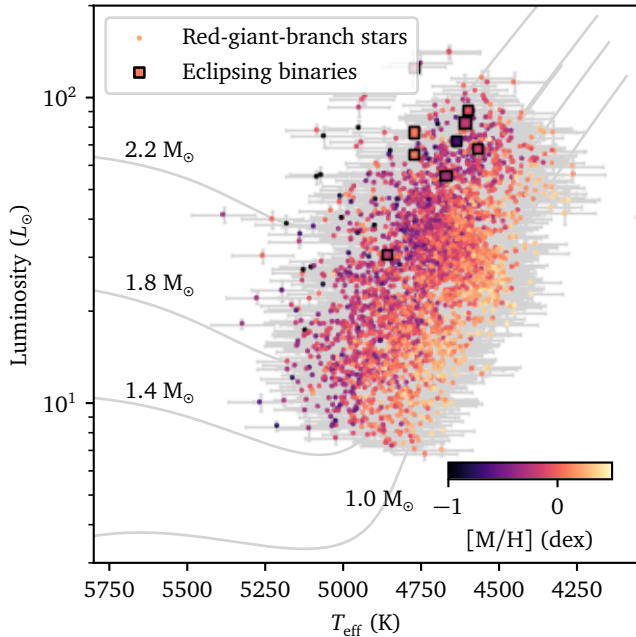


Figure 1. H-R diagram showing the sample used in this work, colour-coded by metallicity. A few solar-metallicity tracks are also shown for visual guidance.

constraints, this approach can inadvertently mask other model imperfections and lead to significant biases in the estimation of stellar properties (Cunha et al. 2021). This issue becomes critically important for Galactic archaeology, where age scales for millions of red giants have been calibrated based on asteroseismic datasets and the systematic uncertainties are often left unaccounted for (Ho et al. 2017; Wu et al. 2018; Wang et al. 2023a; Anders et al. 2023).

In this paper, we aim to scrutinize some of the systematic uncertainties in models, and highlight their significant contribution to the overall uncertainty in determining fundamental stellar properties, especially age, which often surpasses statistical uncertainties. We will assess their impact on estimating stellar properties (Section 3), investigate the interplay between α_{MLT} , radius and metallicity $[M/H]$ (Section 4), and finally, discuss how these model uncertainties affect the validation of the ν_{max} scaling relation (Section 5).

2. DATA ANALYSIS

2.1. Observations

We first describe the observational data used to constrain stellar models. In this work, we used the low-luminosity asteroseismic red-giant-branch (RGB) sample observed by *Kepler* (Yu et al. 2018). We selected low-luminosity stars (below about $100 L_{\odot}$) by restricting ν_{max} to be above $17\mu\text{Hz}$.

For asteroseismic inputs, we chose ν_{max} values processed by the nuSYD pipeline (Sreenivas et al. 2024). This pipeline offers slightly improved precision compared to the SYD pipeline (Huber et al. 2009; Chontos et al. 2021; Yu et al. 2018), due to their simple treatment of granulation background and the low-level noise in their adopted PDCSAP (Pre-search Data Conditioning Simple Aperture Photometry) light curves (Stumpe et al. 2012; Smith et al. 2012). We used oscillation frequencies ($l = 0, 2$) extracted by Kallinger (2019), who followed a standard peakbagging approach. We also used $l = 1$ g-mode period spacings ($\Delta\Pi_1$) from Vrad et al. (2016), rather than the individual $l = 1$ oscillation frequencies, to avoid the complexity of treating mixed modes in stellar modelling (Ball et al. 2018).

For spectroscopic inputs, we used metallicity $[M/H]$ from APOGEE (Abdurro'uf et al. 2022; Pinsonneault et al. 2018), and T_{eff} that we derived from the IRFM method (Casagrande et al. 2021), which uses *Gaia* and 2MASS photometry and extinction values from Green et al. (2019) as inputs. By comparing the IRFM T_{eff} and the APOGEE T_{eff} values, we observed a systematic variation: the IRFM T_{eff} is 50 K higher than the APOGEE values at $[M/H] \sim -0.6$ dex, and conversely, 50 K lower at $[M/H] \sim 0.4$ dex. The root-mean-square difference between the two T_{eff} sources is about 70 K across all $[M/H]$ levels. We found that the choice of T_{eff} scale made little difference to our results (see Section 4).

We determined luminosities using K_s -band magnitudes (Cutri et al. 2003), along with Green et al. (2019) extinctions, Choi et al. (2016) bolometric corrections, and *Gaia* DR3 distances (Bailer-Jones et al. 2021).

Furthermore, we included oscillating eclipsing binaries analysed by Gaulme et al. (2016), Brogaard et al. (2018), and Benbakoura et al. (2021), which have masses and radii measured from dynamical modelling. In cases where a star was analysed by multiple studies, we opted for the parameters reported from the most recent study. Figure 1 presents the sample used in this work on the Hertzsprung-Russell diagram.

2.2. Stellar models

We constructed a new set of stellar models with MESA (version r15140; Paxton et al. 2011, 2013, 2015, 2018, 2019) and GRYE (version 6.0.1; Townsend & Teitler 2013). The construction of these models is largely based on the input physics outlined in Li, Y. et al. (2023). The convection was formulated with the mixing-length theory from Henyey et al. (1965) and the surface boundary conditions were constructed with Eddington $T - \tau$ grey atmosphere model (Eddington 1926). At the end of the

paper, we provided a link to the configuration files used for producing the MESA models.

There are two primary differences compared to the Li, Y. et al. (2023) models. Firstly, the new models considered possible variations in the convective overshoot. We used the exponential overshooting scheme according to Herwig (2000), and varied the amount of overshoot for core and shell convective boundaries independently as $f_{\text{ov,core}} \in (0., 0.03)$ and $f_{\text{ov,shell}} \in (0., 0.02)$, respectively. The other free parameters for the grid were initial stellar mass $M \in (0.6, 2.5)$, metallicity $[M/H] \in (-1.0, 0.6)$, the initial helium abundance $Y_{\text{init}} \in (0.20, 0.37)$, and the mixing-length parameter $\alpha_{\text{MLT}} \in (1.0, 2.7)$. No mass loss was included in the models.

Secondly, in addition to calculating the frequencies of the radial modes ($\ell = 0$) we also calculated frequencies of decoupled (pure p) quadrupolar modes ($\ell = 2$) using the method introduced by Ong & Basu (2020). We incorporated the $\ell = 2$ modes in this work because the spacing between $\ell = 0$ and $\ell = 2$ modes, the so-called small separation, relates closely to stellar mass in red giants and could offer extra constraints on stellar properties (Montalbán et al. 2010; Kallinger et al. 2012).

2.3. Model fitting

We followed the model-fitting framework described in Li, Y. et al. (2023). Each model is associated with global stellar parameters $\{M, R, L, \text{Age}, \Delta\Pi_1, T_{\text{eff}}, [M/H], \dots\}$ and oscillation frequencies $\{\nu_i\}$. Following Li, Y. et al. (2023), we corrected the surface effect in $\{\nu_i\}$ with an ensemble approach, which helps eliminate unrealistic surface corrections and reduces scatter in model-derived properties. We achieved this by parameterizing the amount of surface correction at ν_{max} , $\delta\nu_m$, and at 10% above ν_{max} , $\delta\nu'_m$, as functions of stellar surface properties:

$$\delta\nu_m = a \cdot (g/g_\odot)^b \cdot (T_{\text{eff}}/T_{\text{eff},\odot})^c \cdot (d \cdot [M/H] + 1), \quad (1)$$

and

$$\delta\nu'_m = a' \cdot (g/g_\odot)^{b'} \cdot (T_{\text{eff}}/T_{\text{eff},\odot})^{c'} \cdot (d' \cdot [M/H] + 1). \quad (2)$$

These two equations were then used to determine the surface terms (a_{-1} and a_3) in the inverse-cubic correction formula (Ball & Gizon 2014):

$$\delta\nu(\nu; a_{-1}, a_3) = (a_{-1}\nu^{-1} + a_3\nu^3) / \mathcal{I}, \quad (3)$$

where \mathcal{I} is the mode inertia. The parameters appearing in these equations, $\{a, b, c, d, a', b', c', d'\}$, were jointly fitted to the entire sample, yielding best-fitting values of $\{-6.11 \pm 0.28, 0.79 \pm 0.04, -5.04 \pm 0.85, -0.79 \pm 0.09, -7.69 \pm 0.72, 0.79 \pm 0.03, -4.59 \pm 0.68, -0.87 \pm 0.08\}$.

These values are slightly different from those reported by Li, Y. et al. (2023), due to the differences in underlying models and observational constraints, indicating the importance of such re-calibration for this method.

To determine stellar properties, we applied a range of observational constraints, quantified using chi-squared (χ^2) functions for goodness of fit:

$$\chi_q^2 = \left(\frac{q_{\text{obs}} - q_{\text{mod}}}{\sigma_q} \right)^2, \quad (4)$$

where q represents the observables ν_{max} , $\Delta\Pi_1$, L , T_{eff} , $[M/H]$, M , and R . For individual frequencies, we used reduced χ^2 functions for a group of modes with the same ℓ -degree, which are the standard χ^2 functions averaged by the number of oscillation modes:

$$\chi_l^2 = \frac{1}{N_l} \sum_i^{N_l} \left(\frac{\nu_{\text{obs},l,i} - \nu_{\text{mod},l,i}}{\sigma_{\nu_{\text{obs},l,i}}} \right)^2. \quad (5)$$

In a strict statistical sense, using an average by the number of modes is not appropriate, because each frequency was determined independently. However, these χ^2 functions are very sensitive to inaccurate predictions of mode frequencies, due to the relatively small magnitudes of $\sigma_{\nu_{\text{obs}}}$. Relying on a single set of grid models with a specific selection of input physics (as was done in this work) is unable to fully capture all sources of systematic uncertainties. This limitation could result in extremely large values for the seismic χ^2 functions. Hence, the average method reduces the reliance of the seismic χ^2 on the inaccurate predictions, and quantitatively it is similar to adding an extra error term for systematic uncertainties. We refer the reader to Cunha et al. (2021) for a thorough discussion on this topic.

For illustration, we combine observational constraints into separate groups. The χ_{freq}^2 includes individual frequencies for modes of $l = 0$ and $l = 2$:

$$\chi_{\text{freq}}^2 = \chi_{l=0}^2 + \chi_{l=2}^2. \quad (6)$$

The seismic constraint χ_{seis}^2 incorporates both individual frequencies and global seismic parameters (ν_{max} and $\Delta\Pi_1$):

$$\chi_{\text{seis}}^2 = \chi_{\text{freq}}^2 + \chi_{\nu_{\text{max}}}^2 + \chi_{\Delta\Pi_1}^2. \quad (7)$$

The *Gaia* constraint applies to luminosity:

$$\chi_{\text{Gaia}}^2 = \chi_L^2, \quad (8)$$

and the spectroscopic constraint covers T_{eff} and $[M/H]$:

$$\chi_{\text{spec}}^2 = \chi_{T_{\text{eff}}}^2 + \chi_{[M/H]}^2. \quad (9)$$

221 For the eclipsing binaries, the dynamical constraint in-
 222 cludes mass and radius obtained from the modelling of
 223 the binary orbit:

$$224 \quad \chi_{\text{dyn}}^2 = \chi_M^2 + \chi_R^2. \quad (10)$$

225 When combining these individual constraints, we implic-
 226 itly assume that each was derived independently, even
 227 though this may not be the case. For example, T_{eff} and
 228 $[M/H]$ are often strongly correlated from spectroscopic
 229 analysis. To accurately account for such correlations, it
 230 is essential to include information on the covariance ma-
 231 trix (e.g. Gent et al. 2022). However, this information
 232 was not available for most of our sample.

233 Under the Bayesian model-fitting framework, the poster-
 234 ior probability for the model parameters is expressed
 235 as

$$236 \quad p(\theta|\mathcal{D}) = p(\theta) \times \mathcal{L}(\mathcal{D}|\theta), \quad (11)$$

237 where $p(\theta)$ is the prior on model parameters, which are
 238 uniform within the grid boundaries unless noted other-
 239 wise. The likelihood function quantifies the agreement
 240 between models (specified by θ) and observational data
 241 (\mathcal{D}):

$$242 \quad \mathcal{L}(\mathcal{D}|\theta) = \exp(-\chi^2/2), \quad (12)$$

243 where χ^2 includes various observational constraints that
 244 are detailed in subsequent sections. The estimation of
 245 a stellar parameter of interest was determined by inte-
 246 grating Eq. 11 over other model parameters, a process
 247 called marginalisation.

248 3. UNCERTAINTIES FROM MODEL INPUT 249 PHYSICS

250 3.1. Varying input physics

251 When studying the effects of varying input physics,
 252 Lebreton et al. (2014) identified the most important
 253 factors contributing to the uncertainty in the main-
 254 sequence lifetime. These include chemical abundances,
 255 convective core overshoot, and rotation, with discrep-
 256 ancies surpassing 30% in comparison to a reference model.
 257 Chemical abundances and convective core overshoot are
 258 especially crucial for low-mass stars less than $1.7 M_{\odot}$
 259 (see also Ying et al. 2023; Joyce et al. 2023). In this sec-
 260 tion, we look deep into how variations in these two types
 261 of input physics influence the inferred stellar properties,
 262 in the context of using constraints from asteroseismol-
 263 ogy.

264 This investigation was done by adjusting key param-
 265 eters in our models: the mixing-length parameter α_{MLT} ,
 266 the initial helium fraction Y_{init} , the solar abundance val-
 267 ues $(Z/X)_{\odot}$ used in metallicity calculations, and the
 268 core overshoot parameter, $f_{\text{ov,core}}$. Each star was fitted
 269 with the full set of observables: $\chi^2 = \chi_{\text{seis}}^2 + \chi_{\text{Gaia}}^2 + \chi_{\text{spec}}^2$.

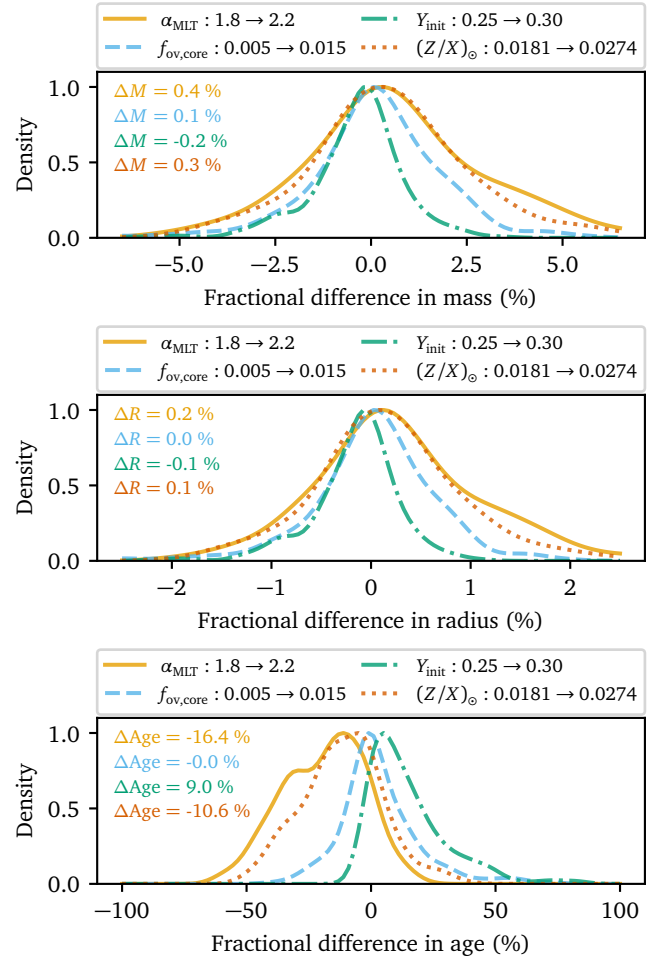


Figure 2. Distributions of the fractional differences in masses, radii, and ages for our red giant sample that result from changing the input physics. Each line corresponds to changing the values of a different model parameter (see legends), and the numbers indicate to the median differences. The distributions have been processed through Gaussian kernel density estimations for clearer visualization. The stellar properties shown in this diagram were derived with $\nu_{\ell=0}$, $\nu_{\ell=2}$, $\Delta\Pi_1$, ν_{max} , T_{eff} , $[M/H]$, and L .

270 We assessed the fractional differences in stellar proper-
 271 ties resulting from these adjustments, with the distribu-
 272 tions displayed in Figure 2.

273 A key focus was on the impact of varying α_{MLT} , which
 274 prescribes convective flux transport in convection zones
 275 and is especially important in super-adiabatic regions.
 276 Although solar-calibrated α_{MLT} values are commonly
 277 used in stellar isochrones, several studies advocate for
 278 and validate non-solar values across diverse samples (see
 279 Joyce & Tayar 2023). In our analysis, we varied α_{MLT}
 280 from 1.8 to 2.2, which covers the range commonly ex-
 281 plored in these studies for red giants. The adjustment
 282 was implemented by modifying the priors in Eq. 11. For

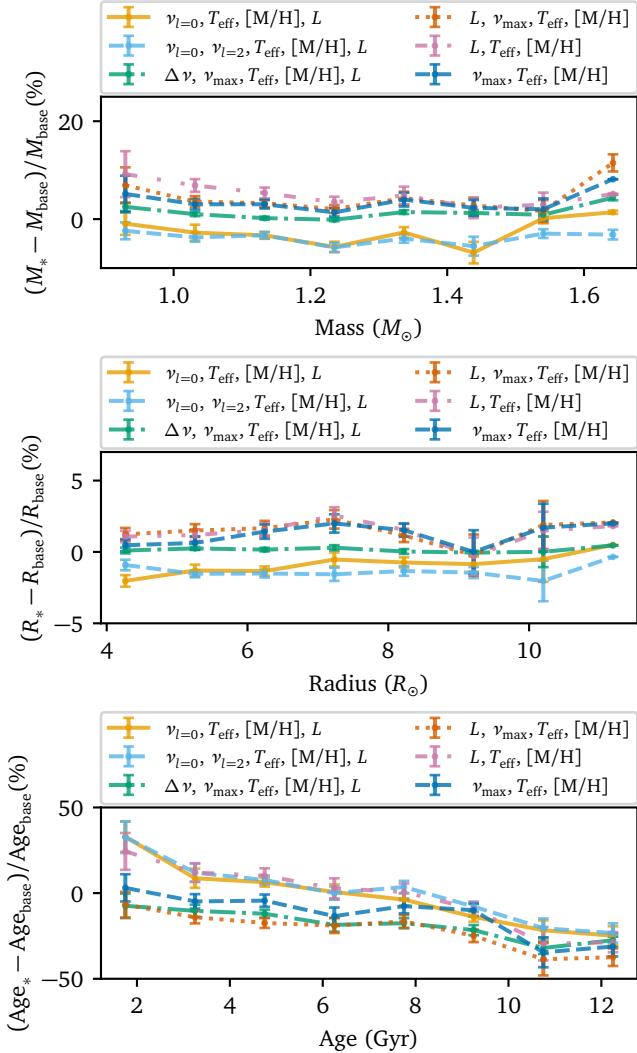


Figure 3. Changes in the derived masses, radii, and ages for our red giant sample that result from changing the input physics. Each point shows the binned medians and the associated errors on the binned medians. In each panel, the x-axis shows the stellar properties derived with $\nu_{\ell=0}$, $\nu_{\ell=2}$, $\Delta\Pi_1$, ν_{\max} , T_{eff} , [M/H], and L , denoted as the “base” case. They are compared against the stellar properties derived by other combinations of observational constraints (see legends).

example, setting α_{MLT} to 1.8 involves applying the following normal distribution:

$$\alpha_{\text{MLT}} \sim \mathcal{N}(1.8, \sigma), \quad (13)$$

where $\mathcal{N}(\mu, \sigma)$ denotes a normal distribution with mean μ and standard deviation σ . Here we chose σ as 0.05, and tests showed that using other values (0.025 and 0.1) did not lead to significant differences.

As can be seen from Figure 2, altering α_{MLT} by 0.4 induces the largest variations among the tests we conducted. The median differences are 0.4% in mass, 0.2%

in radius, and 16.4% in age. The pronounced α_{MLT} effect on age is indirect, because an increased α_{MLT} tends to favour models with different abundance values that modify ages directly. Specifically, the 0.4 increase in α_{MLT} decreases Y_{init} by ≈ 0.08 , leading to a reduced hydrogen burning rate on the main sequence (McKeever et al. 2019; Lebreton et al. 2014). Moreover, since the metal-to-hydrogen ratio ([M/H] or Z/X) is a fixed constant observational constraint, the change in α_{MLT} raises both X_{init} (by ≈ 0.06) and Z_{init} (by ≈ 0.004). The increase in fuel and the higher opacity during the main sequence both prolong the main-sequence lifespan, substantially increasing the estimated stellar ages (see also Valle et al. 2018).

Next, we explored the effects of varying the initial helium abundance Y_{init} . Due to the lack of photospheric helium lines, direct measurements of helium abundance are challenging, leading to Y_{init} often being treated as a free parameter in stellar modelling. In favorable cases, asteroseismology can measure the helium abundance in the stellar envelope by using the change of the adiabatic index in the HeII ionization zone, which produces oscillatory signatures in p-mode frequencies (Basu & Antia 2004; Broomhall et al. 2014; Verma et al. 2019; Dréau et al. 2020). In our analysis, we modified Y_{init} from 0.25 to 0.30, aligning with recent seismic estimations (McKeever et al. 2019; Ong et al. 2022). This was implemented by setting priors $Y_{\text{init}} \sim \mathcal{N}(0.25, 0.03)$ and $\mathcal{N}(0.30, 0.03)$. The median differences observed are 0.2% in mass, 0.1% in radius, and 9.0% in age. The age discrepancies, although significant, were less pronounced than those caused by changes in α_{MLT} , as a result of a smaller range of variation in Y_{init} (0.05 in this case as opposed to 0.08).

We also examined the effect of different solar abundance scale $(Z/X)_{\odot}$ values. The metallicity ratio [M/H], derived from spectroscopy, implicitly sets a specific solar abundance value $(Z/X)_{\odot}$:

$$[\text{M}/\text{H}] = \log_{10}(Z/X) - \log_{10}(Z/X)_{\odot}. \quad (14)$$

Various studies have measured $(Z/X)_{\odot}$ ranging between 0.0181 and 0.0274 (Anders & Grevesse 1989; Grevesse & Sauval 1998; Asplund et al. 2009). We tested the effects of these variations by altering the model definition of [M/H], as reflected in χ^2_{spec} . The resulting median differences are 0.3% in mass, 0.1% in radius, and 10.6% in age, showing a similar magnitude of impact as the adjustment in Y_{init} .

Lastly, we assessed the effect of changing the core overshoot parameter $f_{\text{ov,core}}$. In stars with masses greater than $\sim 1.2 M_{\odot}$, $f_{\text{ov,core}}$ plays a critical role in determining the convective core boundary and the mixing

344 within. Based on calibrations from asteroseismology
 345 and eclipsing binaries (Claret & Torres 2018; Deheuvels
 346 et al. 2016; Tian et al. 2015), we compared two values
 347 for $f_{\text{ov,core}}$, using priors $f_{\text{ov,core}} \sim \mathcal{N}(0.005, 0.005)$ and
 348 $\mathcal{N}(0.015, 0.005)$. The changes observed were minimal:
 349 negligible in both mass and radius, and 1.0% in age.
 350 We also did not find substantial impacts when changing
 351 $f_{\text{ov,shell}}$, although its value is known to impact the po-
 352 sition of the RGB bump (Christensen-Dalsgaard 2015;
 353 Khan et al. 2018).

3.2. Varying the choices of observables

355 We can gain further insights into the impact of un-
 356 certain input physics by comparing the stellar prop-
 357 erties derived from different sets of observables. Fig-
 358 ure 3 compares the properties derived using various
 359 observables against those obtained from the full set:
 360 $\chi^2 = \chi_{\text{seis}}^2 + \chi_{\text{Gaia}}^2 + \chi_{\text{spec}}^2$.

361 Our first focus concerns models that incorporate as-
 362 teroseismic constraints only from individual frequencies:
 363 $\nu_{\ell=0}$ and $\nu_{\ell=2}$. These models show discrepancies com-
 364 pared to those using the full set, with systematic offsets
 365 of up to 5% in mass, 1% in radius, and 15% in age. We
 366 also observed a discernible trend in the age discrepan-
 367 cies, correlating with the age itself. These discrepan-
 368 cies are attributed to the inclusion of ν_{max} in the full
 369 set, which offers partial constraints on α_{MLT} (discussed
 370 in Section 4), leading to a slightly different parameter
 371 scale.

372 We then considered models optimized only by global
 373 parameters. We confirmed there are substantial devia-
 374 tions when relying solely on L , T_{eff} , and $[\text{M}/\text{H}]$, as used
 375 in traditional isochrone fitting, with systematic offsets
 376 of up to 10% in mass, 2% in radius, and 30% in age,
 377 compared to those with the full inputs. When incorpo-
 378 rating ν_{max} , either in addition to or as a replacement
 379 for L , the discrepancy in radius is similar but the diver-
 380 gence reduces to 5% in mass and by 15% in age. This
 381 is because ν_{max} relates to mass with power of 1 (see
 382 Eq. 15). The inclusion of ν_{max} , especially for stars be-
 383 yond 3 kpc where *Gaia* distance measurements are less
 384 precise, proves to be very beneficial in improving param-
 385 eter accuracy (Huber et al. 2017). Furthermore, models
 386 incorporating $\Delta\nu$ as an input show excellent consistency
 387 with the full seismic inputs. Consequently, we recom-
 388 mend employing a combination of $\Delta\nu$ and ν_{max} wher-
 389 ever possible, to fully maximize the accuracy of stellar
 390 properties (Silva Aguirre et al. 2020).

3.3. Summary

392 Our investigation reveals that the inherent uncertain-
 393 ties in stellar models can lead to significant deviations

394 in the estimated properties of asteroseismic red giants.
 395 Specifically, we observed uncertainties up to $\approx 0.4\%$ in
 396 mass, $\approx 0.2\%$ in radius and, notably, $\approx 17\%$ in age. The
 397 uncertainties mainly stem from the poorly-constrained
 398 helium abundance (Y_{init}), which significantly influences
 399 other parameters such as the mixing-length param-
 400 eter (α_{MLT}), initial hydrogen fraction (X_{init}), and ini-
 401 tial metallicity (Z_{init}). The age uncertainty is particu-
 402 larly critical, often surpassing the statistical uncertain-
 403 ties commonly reported in individual frequency mod-
 404 elling, which are typically around $\approx 10\%$ (Montalbán
 405 et al. 2021; Wang et al. 2023b).

406 Our results show that, despite integrating constraints
 407 from asteroseismic data, a baseline level of systematic
 408 uncertainty still persists. This systematic uncertainty
 409 sets a realistic lower limit for stellar properties. It is a
 410 crucial factor to consider in the application of asteroseis-
 411 mic data for stellar evolution and Galactic archaeology
 412 studies.

413 It is also important to note that, while our study
 414 primarily targets RGB stars, the uncertainties for red
 415 clump could be even higher due to the potential accu-
 416 mulation of errors during the earlier evolutionary phases
 417 of these stars (Cinquegrana et al. 2023).

4. CONSTRAINING THE MIXING-LENGTH PARAMETER

4.1. Interplay between α_{MLT} , radius, and ν_{max}

421 Our analysis of stellar models, when we omit ν_{max} as a
 422 constraint and rely on $\chi^2 = \chi_{\text{freq}}^2 + \chi_{\text{Gaia}}^2 + \chi_{\text{spec}}^2$, reveals
 423 a notable challenge in precisely determining stellar the
 424 radius. This difficulty stems from the lack of constraint
 425 on the mixing-length parameter (α_{MLT}). We explain
 426 this issue in greater detail below.

427 In the upper-left panel of Figure 4, we present the
 428 posterior distribution of KIC 9540226, marginalised over
 429 the α_{MLT} -radius parameter space. It reveals a strong
 430 negative and nearly linear correlation between the two
 431 parameters under the observational constraints, with a
 432 Pearson correlation coefficient of -0.43 . The correlation
 433 suggests that accurate determination of either α_{MLT} or
 434 radius is challenging without incorporating additional
 435 data.

436 In the upper-middle panel of Figure 4, we introduce
 437 an extra constraint, ν_{max} . Since our 1-D models do not
 438 predict amplitudes, the ν_{max} values were calculated from
 439 the scaling relation:

$$440 \quad \frac{\nu_{\text{max}}}{\nu_{\text{max},\odot}} \approx \left(\frac{M}{M_{\odot}}\right) \left(\frac{R}{R_{\odot}}\right)^{-2} \left(\frac{T_{\text{eff}}}{T_{\text{eff},\odot}}\right)^{-1/2}, \quad (15)$$

441 where $\nu_{\text{max},\odot} = 3090 \mu\text{Hz}$, and $T_{\text{eff},\odot} = 5772 \text{ K}$ (Kjeld-
 442 sen & Bedding 1995). This inclusion visibly weakens

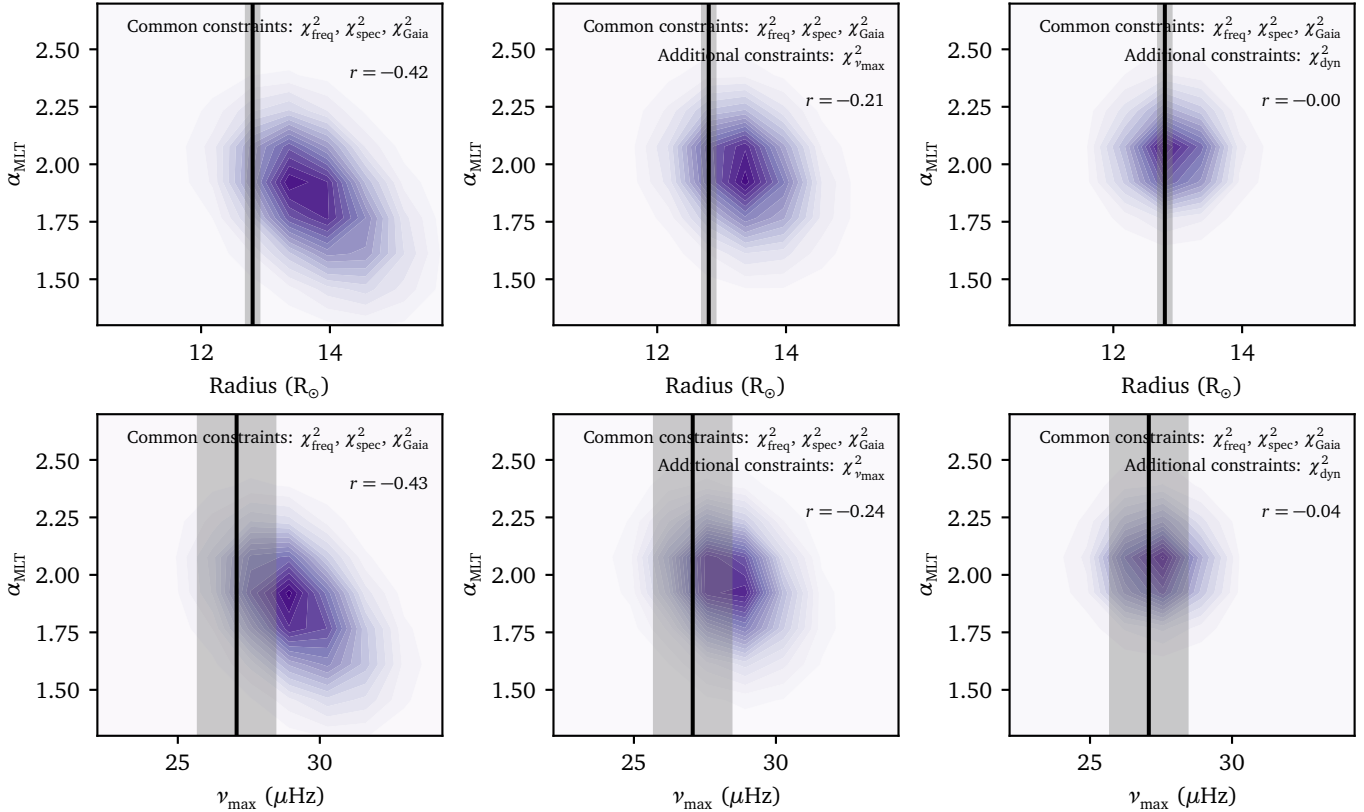


Figure 4. Posterior distributions for KIC 9540226 (an eclipsing binary) modelled with $\chi^2 = \chi_{\text{freq}}^2 + \chi_{\text{spec}}^2 + \chi_{\text{Gaia}}^2$. The top panels show the distributions marginalised in the $(\alpha_{\text{MLT}}, \text{radius})$ space and the bottom panels show those in the $(\alpha_{\text{MLT}}, \nu_{\text{max}})$ space. The panels in the middle column show the results with additional constraint of $\chi_{\nu_{\text{max}}}^2$. The panels in the right column show those with additional constraint of χ_{dyn}^2 . The Pearson correlation coefficients calculated from the distributions are displayed in each panel. The vertical lines and the shaded regions show the medians and 1- σ values for the measured radius (from binary modelling) and the measured ν_{max} (from observed power spectra).

443 the correlation (Pearson correlation coefficient reduced
 444 to -0.21) because ν_{max} is proportional to $MR^{-2}T_{\text{eff}}^{-1/2}$,
 445 indirectly imposing a constraint on the radius.

446 In the upper-right panel of Figure 4, we applied additional
 447 constraints, χ_{dyn}^2 , related to the mass and radius
 448 of KIC 9540226. This effectively neutralizes the correlation
 449 between α_{MLT} and radius, allowing for a precise deter-
 450 mination of α_{MLT} . Joyce & Chaboyer (2018a) applied
 451 a similar approach to the α Cen A & B binary system,
 452 demonstrating a relation between α_{MLT} and stellar mass
 453 that was preserved across all variations in input physics.
 454 We also tested with the radius constraint alone, which
 455 yielded similar outcomes. This indicates the importance
 456 of direct radius measurements, such as those from in-
 457 terferometry and binary orbit modelling, for calibrating
 458 α_{MLT} in stars beyond the Sun.

459 In the lower panels of Figure 4, we present the poste-
 460 rior distributions now marginalised over the $\alpha_{\text{MLT}}-\nu_{\text{max}}$
 461 space. We found that precise ν_{max} determination from
 462 models hinges on having α_{MLT} calibrated with direct
 463 stellar radius data. It highlights an important point:

464 predicting a reliable ν_{max} value based solely on individ-
 465 ual frequencies is nearly impractical without a predeter-
 466 mined α_{MLT} . This is because the individual frequencies
 467 are mostly sensitive to deeper regions within the star,
 468 and their sensitivity to super adiabatic near-surface re-
 469 gions is considerably reduced by the empirical surface
 470 correction procedure.

471 4.2. Relation between α_{MLT} and metallicity

472 We now turn to examining the relationship between
 473 α_{MLT} and $[M/H]$. It has been shown in previous stud-
 474 ies (such as Metcalfe et al. 2014; Creevey et al. 2017;
 475 Tayar et al. 2017; Joyce & Chaboyer 2018b; Viani et al.
 476 2018; Li, T. et al. 2018; Valle et al. 2019) that 1-D stel-
 477 lar models, when constrained by asteroseismic data, often
 478 require adjustments in the α_{MLT} values depending
 479 the metallicity. This relationship is typically found as a
 480 monotonically increasing trend between the two param-
 481 eters. However, this trend contrasts with findings from
 482 3D hydrodynamic simulations of stellar surfaces (Magic
 483 et al. 2015). This discrepancy has been acknowledged

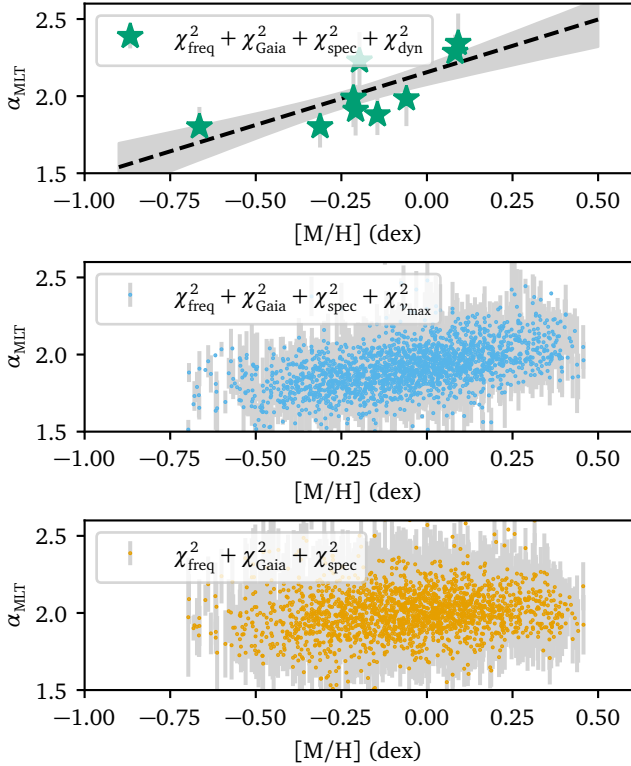


Figure 5. Derived relationships between α_{MLT} and metallicity. The top panel shows the results modelled with the additional χ^2_{dyn} from the binary sample. The middle panel shows those modelled with $\chi^2_{\nu_{\text{max}}}$. The bottom panel shows those modelled without either of them.

484 by [Tayar et al. \(2017\)](#) and [Viani et al. \(2018\)](#) and the
485 reason is not entirely clear.

486 We now revisit the α_{MLT} – $[\text{M}/\text{H}]$ relation, which we
487 intend to constrain with χ^2_{dyn} . The results are shown in
488 [Figure 5](#). With the χ^2_{dyn} constraints (top panel), we still
489 observe a positive correlation between α_{MLT} and $[\text{M}/\text{H}]$,
490 akin to the pattern found in previous 1-D studies and in
491 models constrained by $\chi^2_{\nu_{\text{max}}}$ (middle panel). However,
492 there is a slight difference in the correlation slopes when
493 comparing the two methods. This variation can be at-
494 tributed to the different effectiveness of $\chi^2_{\nu_{\text{max}}}$ and χ^2_{dyn}
495 in mitigating the correlation between α_{MLT} and radius,
496 as previously discussed. When neither χ^2_{dyn} nor $\chi^2_{\nu_{\text{max}}}$
497 are employed (bottom panel), the correlation between
498 α_{MLT} and $[\text{M}/\text{H}]$ almost vanishes. Hence, it is important
499 to have effective constraints on α_{MLT} for model-based
500 parameter inference. Relying solely on models without
501 such constraints could lead to a systematic bias in the
502 derived stellar properties, as we discussed in [Section 3](#).

503 Using the mass and radius measurements from the
504 eclipsing binary sample, along with the spectroscopic
505 and asteroseismic constraints, we fitted a linear relation

506 between α_{MLT} and $[\text{M}/\text{H}]$:

$$507 \quad \alpha_{\text{MLT}} = (0.66 \pm 0.20) \times [\text{M}/\text{H}] + (2.14 \pm 0.06). \quad (16)$$

508 We verified these results by replacing T_{eff} values from
509 those from APOGEE, and found a similar relation:

$$510 \quad \alpha_{\text{MLT}} = (0.60 \pm 0.14) \times [\text{M}/\text{H}] + (2.14 \pm 0.06). \quad (17)$$

511 The slope of α_{MLT} with respect to $[\text{M}/\text{H}]$ agrees well
512 with the findings of [Viani et al. \(2018\)](#), who modelled
513 stars with $\Delta\nu$, ν_{max} , T_{eff} , and $[\text{M}/\text{H}]$ and reported a
514 slope of ≈ 0.74 . However, our value appears to be higher
515 than the ≈ 0.16 value found by [Tayar et al. \(2017\)](#), which
516 might be due to their focus on matching T_{eff} instead.

517 We investigated whether $[\text{M}/\text{H}]$ is the primary driver
518 for the variability of α_{MLT} in red giants. We calcu-
519 lated the correlation coefficients of α_{MLT} with respect
520 to $[\text{M}/\text{H}]$, mass, and T_{eff} for the binary sample. They
521 are 0.75, 0.74, and 0.70, respectively, suggesting that
522 a relation with mass or T_{eff} could be equally plausible.
523 However, we have to keep in mind that the binary sam-
524 ple only spans a rather small parameter range. In con-
525 trast, for the large asteroseismic sample constrained by
526 ν_{max} , we found those coefficients to be 0.46, 0.10, and
527 0.02, respectively. Assuming the ν_{max} -constrained sce-
528 nario is accurate, then $[\text{M}/\text{H}]$ is indeed the dominant
529 factor influencing variations in α_{MLT} for red giants.

530 Based on these findings, we adopt [Eq. 16](#) as a pre-
531 liminary model for further discussions in the subsequent
532 section. We encourage future studies to further refine
533 and validate this relationship as more data from similar
534 systems become available. In particular, more calibra-
535 tors at lower luminosities and higher metallicities will be
536 especially helpful (see [Figure 1](#)).

537 5. IMPLICATIONS ON TESTING THE ν_{max} 538 SCALING RELATION

539 5.1. Background

540 The ν_{max} scaling relation is an extremely useful tool
541 for measuring stellar parameters, especially in the cur-
542 rent era of extensive ensemble analysis of red giants. De-
543 spite its widespread use, the limitations and full scope
544 of this relation are not completely understood ([Hekker
545 2020](#)). In this section, we attempt to test this scaling
546 relation using 1-D stellar modelling.

547 The frequency of maximum power, ν_{max} , is governed
548 by excitation and damping mechanisms, and is therefore
549 inherently a surface property. In this sense, it is different
550 from the individual modes frequencies, which are the
551 resonant frequencies of the entire star. Given that solar-
552 like oscillations are driven and damped by near-surface
553 convection ([Goldreich et al. 1994](#); [Samadi et al. 2012](#);

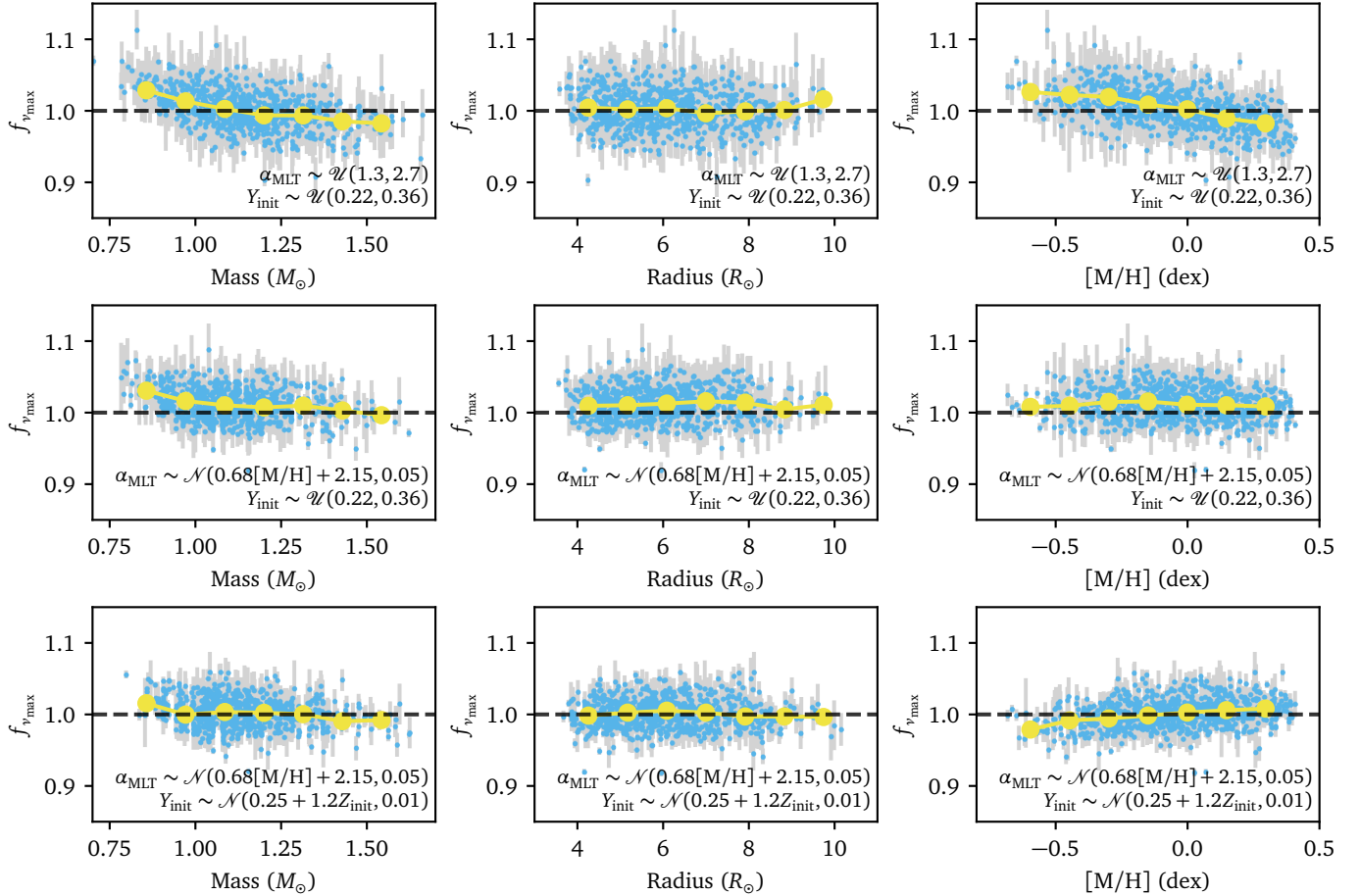


Figure 6. Correction factor of the ν_{\max} scaling relation, $f_{\nu_{\max}}$, as a function of mass, radius, and metallicity (from left to right panels). The top rows show the results obtained without imposing informative priors on α_{MLT} and Y_{init} . The middle rows show those with the calibrated α_{MLT} –[M/H] relation from the eclipsing binary sample. The bottom rows show those with an assumed helium enrichment law.

554 Zhou et al. 2020), it is reasonable to suppose that ν_{\max} ,
 555 like amplitude, is determined by the surface properties
 556 of stars, namely effective temperature, surface gravity
 557 and possibly metallicity.

558 The ν_{\max} scaling relation, originally proposed for
 559 main-sequence stars by Brown et al. (1991) and Kjeld-
 560 sen & Bedding (1995), posits that ν_{\max} is a fixed frac-
 561 tion of the acoustic cutoff frequency (ν_{ac}) in the stellar
 562 atmosphere, which sets the upper boundary limit for
 563 sound waves to remain trapped within the star. Under
 564 assumptions of an isothermal atmosphere and ideal gas
 565 conditions¹, ν_{ac} can be shown to scale as $\nu_{\text{ac}} \propto g/\sqrt{T_{\text{eff}}}$,
 566 where g is the surface gravity and T_{eff} is the effective
 567 temperature. To quantify deviation from the scaling re-
 568 lation, we can define a factor $f_{\nu_{\max}}$ as follows (Sharma

¹ These are simplifications that, admittedly, aren't strictly accu-
 rate.

569 et al. 2016):

$$570 \frac{\nu_{\max}}{\nu_{\max,\odot}} = f_{\nu_{\max}} \left(\frac{M}{M_{\odot}} \right) \left(\frac{R}{R_{\odot}} \right)^{-2} \left(\frac{T_{\text{eff}}}{T_{\text{eff},\odot}} \right)^{-1/2}. \quad (18)$$

571 The ν_{\max} scaling relation has undergone exten-
 572 sive validation against various methodologies, such as
 573 astrometry-based luminosities (Silva Aguirre et al. 2012;
 574 Huber et al. 2017; Sahlholdt & Silva Aguirre 2018; Hall
 575 et al. 2019; Khan et al. 2019; Zinn et al. 2019), masses
 576 and radii from eclipsing binaries (Gaulme et al. 2016;
 577 Brogaard et al. 2018; Kallinger et al. 2018; Benbakoura
 578 et al. 2021), and the sharpness of population-level fea-
 579 tures, such as the zero-age main-sequence edge in red
 580 giants (Li, Y. et al. 2021).

581 Two interesting deviations have emerged in the ex-
 582 tremes of parameter space. First, there is a notice-
 583 able deviation for red giants with radii larger than ≈ 50
 584 R_{\odot} , which is expected since those stars experience a
 585 transition in driving mechanisms — from near-surface
 586 convection to an opacity-based heat engine (Yu et al.

2020; Zinn et al. 2023). Second, there is a potentially unaccounted-for dependency of ν_{\max} on metallicity, supported by possible correlations seen in model-based stellar parameters (Li, T. et al. 2022; Wang et al. 2023b), and the tendency of ν_{\max} -based stellar mass to be overestimated in metal-poor stars (Epstein et al. 2014; Schonhut-Stasik et al. 2023). An additional metallicity term could resolve these discrepancies.

Indeed, by using a more accurate derivation for sound speed, Viani et al. (2017) proposed that ν_{ac} can also depend on the mean molecular weight and the adiabatic index, thereby introducing a metallicity component (see also Jiménez et al. 2015; Yıldız et al. 2016). However, recent 3D hydrodynamic atmosphere simulations by Zhou et al. (2023) did not reveal significant variations of ν_{\max} with metallicity, which could be due to a counteracting effect from the Mach number dependency, as suggested by Belkacem et al. (2011).

5.2. Results and discussions

We tested the ν_{\max} scaling relation using the individual frequency modelling approach, similar to prior studies including Metcalfe et al. (2014), Coelho et al. (2015), Li, T. et al. (2022) and Wang et al. (2023b). Our approach involves computing a scaling ν_{\max} according to Eq. 15, using observational properties other than ν_{\max} as constraints: $\chi^2 = \chi_{\text{freq}}^2 + \chi_{\text{Gaia}}^2 + \chi_{\text{spec}}^2$. The derived scaling ν_{\max} is then compared against the actual measured ν_{\max} from power spectra. The ratio of the measured to the derived value is $f_{\nu_{\max}}$ (see Eq. 18). Our method differs from previous studies in two aspects: (1) we incorporate a more comprehensive list of observational constraints including $\ell = 0 - 2$ mode frequencies, to get more precise constraints on the internal structure; (2) we study how model uncertainties affect the derived ν_{\max} .

Figure 6 presents the correction factor $f_{\nu_{\max}}$ as a function of mass, radius, and age. We also employ median values aggregated into bins (displayed as circles), to highlight any systematic trends in $f_{\nu_{\max}}$. Our initial analysis, presented in the top row of the figure, was conducted without applying informative priors on α_{MLT} and Y_{init} . These results indicate a negative correlation of $f_{\nu_{\max}}$ with both mass and metallicity. Such findings could lead to the conclusions that adjustments to the ν_{\max} scaling relation are necessary. The correlation with $[\text{M}/\text{H}]$ is especially tempting, considering the previously discussed influence of $[\text{M}/\text{H}]$.

However, it is essential to approach these trends cautiously. Our previous discussions suggest that α_{MLT} is not adequately constrained even with asteroseismic frequencies. Thus, employing non-informative priors on

α_{MLT} can result in systematically biased stellar parameters. To address this, we used a normal prior on α_{MLT} around the value given by Eq. 16:

$$\alpha_{\text{MLT}} \sim \mathcal{N}(0.68[\text{M}/\text{H}] + 2.15, 0.05). \quad (19)$$

The resulting $f_{\nu_{\max}}$ is shown in the middle row of Figure 6. The trend with $[\text{M}/\text{H}]$ vanishes when we incorporate the calibrated $\alpha_{\text{MLT}}-[\text{M}/\text{H}]$ relation. The trend with mass also greatly reduces.

Interestingly in this case, we noticed that the $f_{\nu_{\max}}$ factor consistently exceeds 1, hinting at a possible need to adjust the reference values in Eq. 15 for red giants from the current solar-based values. Yet, this adjustment may not be necessary if we apply specific priors to Y_{init} . We implemented a normal prior for Y_{init} based on the Galactic enrichment law:

$$Y_{\text{init}} \sim \mathcal{N}(Y_p + \frac{\Delta Y}{\Delta Z} Z_{\text{init}}, 0.01), \quad (20)$$

with $Y_p = 0.25$ and $\Delta Y/\Delta Z = 1.2$. Note that the exact values of this law are still debated in the literature (Jimenez et al. 2003; Casagrande et al. 2007; Verma et al. 2019). The outcomes of this implementation are shown in the bottom row of Figure 6. We observed that the $f_{\nu_{\max}}$ correction factor closely aligns with 1, suggesting no significant deviation from the standard scaling relation. We also note that in this case $f_{\nu_{\max}}$ presents a positive correlation with $[\text{M}/\text{H}]$, which can be easily deconstructed again with a slightly different prescription for α_{MLT} .

From these findings, we conclude that testing the ν_{\max} scaling relation through individual frequency modelling does not always yield conclusive results and requires a thorough consideration of model uncertainties, especially α_{MLT} and Y_{init} . Additionally, we may also expect that other model assumptions, such as the atmosphere boundary condition (Choi et al. 2018; Salaris et al. 2018) and the treatment of surface correction (Li, Y. et al. 2023), modify the surface layers and influence the derived scaling ν_{\max} .

Our findings also indicate that ν_{\max} imparts unique information distinct from individual frequencies. Therefore, ν_{\max} should be regarded as a valuable constraint in asteroseismic modelling.

5.3. Recommendations for using the ν_{\max} scaling relation

We recommend practices for using ν_{\max} to derive stellar properties. Most ν_{\max} extraction pipelines only consider the statistical uncertainties for measuring ν_{\max} , which sometimes could be smaller than the total error budget. Below we list some of the external sources of uncertainties.

687 First, the values of ν_{\max} is influenced by the method
 688 in which stellar oscillation was detected. ν_{\max} is affected
 689 by the wavelength range of the photometric filters used,
 690 as well as whether observations are conducted through
 691 radial velocity or photometry. Such factors can result in
 692 $\approx 5\%$ discrepancies in ν_{\max} in the Sun (Howe et al. 2020).
 693 Moreover, differences in the treatment of power spectra
 694 among data reduction pipelines can lead to differences in
 695 ν_{\max} for about 2% (Pinsonneault et al. 2018). Therefore,
 696 when the studied samples are not characterised with the
 697 same instrument or the same data reduction pipeline,
 698 it is crucial to account for these additional sources of
 699 discrepancy.

700 Second, ν_{\max} changes over time due to astrophysical
 701 origins. For example, ν_{\max} is subject to variations with
 702 magnetic cycles. The Sun varies ν_{\max} of $\approx 0.8\%$ in a so-
 703 lar cycle (Howe et al. 2020). In addition, the stochastic
 704 nature of oscillations can cause ν_{\max} to fluctuate over
 705 fixed lengths of observing windows. In the case of *Ke-*
 706 *pler* red giants, typical scatter in ν_{\max} ranges from 2% to
 707 5% in three-month observing windows (Sreenivas et al.
 708 2024). This issue is even more troublesome for some
 709 *TESS* stars with only one sector of data (e.g. Jiang
 710 et al. 2023). Hence, these types of uncertainties need
 711 to be quantified, presumably via simulations, especially
 712 if ν_{\max} is measured with short time series.

713 6. CONCLUSIONS

714 In our study, we present a detailed characterisation of
 715 red giant model uncertainties, focusing on the impact
 716 of the mixing-length parameter α_{MLT} , the initial helium
 717 fraction Y_{init} , the solar abundance scale $(Z/X)_{\odot}$, and
 718 the core overshoot parameter $f_{\text{ov,core}}$. Our objective is
 719 to understand how these factors influence the determi-
 720 nation of stellar mass, radius, and age in asteroseismic
 721 modelling. The key conclusions are summarised as fol-
 722 lows:

- 723 1. We identified that uncertainties in α_{MLT} and Y_{init}
 724 significantly affect the accuracy of stellar proper-
 725 ties, despite incorporating constraints from spec-
 726 troscopy (T_{eff} , $[M/H]$), *Gaia* astrometry (L), and
 727 asteroseismology ($\nu_{\ell=0}$, $\nu_{\ell=2}$, ν_{\max} , $\Delta\Pi_1$). These
 728 uncertainties set an error floor on mass of $\approx 0.4\%$,
 729 radius of $\approx 0.2\%$, and age of $\approx 17\%$ (Figure 2). The
 730 error floors due to model uncertainties in age ex-
 731 ceed typical statistical uncertainties $\approx 10\%$, show-
 732 ing the importance of their evaluation in astero-
 733 seismic applications.
- 734 2. We examined the effect of different combinations
 735 of observational constraints (Figure 3). Incorpor-
 736 ating asteroseismic constraints only from individ-
 737 ual frequencies shows discrepancies compared to

738 the case of using the full set of inputs that in-
 739 clude ν_{\max} , suggesting ν_{\max} sets a slightly differ-
 740 ent parameter scale, due to its constraining ability
 741 on α_{MLT} . In addition, incorporating asteroseismic
 742 constraints from $\Delta\nu$ and ν_{\max} shows excellent con-
 743 sistency with the full set of inputs. Therefore, for
 744 ensemble analysis of red giants, a combination of
 745 $\Delta\nu$ and ν_{\max} should be included wherever possi-
 746 ble.

- 747 3. We showed that an uncertain state of α_{MLT} trans-
 748 lates to uncertain predictions on stellar radius
 749 and ν_{\max} (Figure 4). Hence, direct measure-
 750 ments of stellar radius will enable the calibration
 751 of α_{MLT} . Using the *Kepler* eclipsing binary sam-
 752 ple, for which dynamical radii are available, we de-
 753 termined their α_{MLT} values and provided a fitting
 754 relation between α_{MLT} and $[M/H]$ (Figure 5). The
 755 slope between α_{MLT} and $[M/H]$ is consistent with
 756 previous studies involving 1-D models but still in
 757 tension with 3D simulations.
- 758 4. We showed that predicting a reliable ν_{\max} value
 759 based solely on individual frequencies is nearly im-
 760 practical (Figure 4). The model-derived scaling
 761 ν_{\max} , shows strong dependence on the uncertain-
 762 ties in both α_{MLT} and Y_{init} , which prohibits ac-
 763 curacy tests on the ν_{\max} scaling relation from as-
 764 teroseismic modelling (Figure 6). We noted that
 765 ν_{\max} provides distinct information and should be
 766 considered as an important observable in astero-
 767 seismic modelling. We provided our recommenda-
 768 tions in Section .

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812 trophy (Astropy Collaboration et al. 2013, 2018), Pandas
813 (Wes McKinney 2010), MESA (Paxton et al. 2011, 2013,
814 2015, 2018, 2019), MESASDK (Townsend 2020), GYRE
815 (Townsend & Teitler 2013), Lightkurve (Lightkurve Col-
816 laboration et al. 2018), ISOCCLASSIFY (Huber et al.
817 2017; Berger et al. 2020), colte (Casagrande et al. 2021),
818 GPT-4 (OpenAI 2023). The scripts and MESA inlists
819 used in this work are available at GitHub².

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